

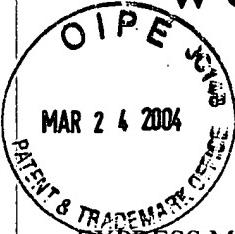
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PATENT APPLICATION  
Docket No.: 15257.3.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Serial No.:	10/729,000	) Art Unit
Filed:	December 5, 2003	)
For:	CHILD RESTRAINT DEVICE AND METHOD	)
Examiner:	Unknown	)

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

X Form PTO-1449 list of 10 references submitted for consideration.

In any event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 23<sup>rd</sup> day of March, 2004.

Respectfully submitted,

John M. Guynn  
Attorney for Applicant  
Registration No. 36,153  
Customer No. 022913

JMG:kk  
KKK000002337V001



PATENT APPLICATION  
Docket: 15257.3.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
John M. Guynn )  
Serial No.: 10/729,000 ) Art Unit  
Filed: December 5, 2003 ) 3643  
For: CHILD RESTRAINT DEVICE AND METHOD )  
Examiner: Unknown )

EXPRESS MAILING LABEL NO. EV 382938789 US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of deposit: March 24, 2004.

- Transmittal for Information Disclosure Statement (1 pg.)
- Information Disclosure Statement (2 pgs.)
- Form PTO-1449 (3 pgs.)
- Postcard

Respectfully submitted,

  
Kimberly Kendrick  
Paralegal to John M. Guynn

EXPRESS MAIL LABEL NO.: EV 382938789 US

PATENT APPLICATION  
Docket No.: 15257.3.2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John M. Guynn

Serial No.: 10/729,000 ) Art Unit

Filed: December 5, 2003 )

For: CHILD RESTRAINT DEVICE AND METHOD )

Examiner: Unknown )

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 23<sup>rd</sup> day of March 2004.

Respectfully submitted,



John M. Guynn  
Attorney for Applicant  
Registration No. 36,153  
Customer No. 022913

JMG:kk  
KKK0000002336V001



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Form PTO-1449

Sheet 1 of 3

Applicant: John M. Guynn  
Serial No.: 10/729,000 Att'y Docket No.: 15257.3.2  
Filing Date: December 5, 2003 Art Unit: 3643  
For: CHILD RESTRAINT DEVICE AND METHOD OF USE

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Filing Date</u>
_____ A1.	6,006,967	12/28/99	Tsao	09/09/98
_____ A2.	5,775,967	07/07/98	Lacoursiere, et al.	03/25/96
_____ A3.	5,775,770	07/07/98	Tunney	11/25/96
_____ A4.	5,619,955	04/15/97	Nelson	06/29/95
_____ A5.	5,522,528	06/04/96	Petricola	07/10/95
_____ A6.	5,498,219	03/12/96	Soufi	08/18/94
_____ A7.	5,435,272	07/25/95	Epstein	03/31/94
_____ A8.	5,289,896	03/01/94	Giglio	09/10/92
_____ A9.	4,717,056	01/05/88	Carmichael	06/09/86
_____ A10.	4,411,222	10/25/83	Wolfson	02/19/82

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Form PTO-1449

Sheet 2 of 3

Applicant: John M. Guynn  
Serial No.: 10/729,000 Att'y Docket No.: 15257.3.2  
Filing Date: December 5, 2003 Art Unit: 3643  
For: CHILD RESTRAINT DEVICE AND METHOD OF USE

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Foreign Patent Documents

Examiner <u>Initial*</u> NONE	Document <u>Number</u>	Publ. <u>Date</u>	Country or <u>Patent Office</u>	Sub- <u>Class</u>	Class	Trans- <u>lation</u>
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Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner  
Initial\*  
NONE

**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted

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Form PTO-1449

Sheet 3 of 3

Applicant: John M. Guynn  
Serial No.: 10/729,000 Att'y Docket No.: 15257.3.2  
Filing Date: December 5, 2003 Art Unit: 3643  
For: CHILD RESTRAINT DEVICE AND METHOD OF USE

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form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

KKK0000002335V001

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.